Audit and Governance Panel

Action Log

No	Date of Meeting	Issue	Actions	Assigned/ Re-assigned to	Update	Completed
1.	31 May 2023	Corporate Fraud Team – Activity Report and Update on 2022/23 National Fraud Initiative	**To provide a Members Briefing regarding the work of the Corporate Fraud Team**	Chief Internal Auditor	Scheduled for 30 October 2023	**NO**
2.	28 June 2023	Delivering Good Governance - 2022/23 Assessment	A Member advised that, in the spirit of self-evaluation and in relation to page 15 of Appendix 1, where it stated that "All Panel and Council reports include 'Risk Implications of Accepting or Rejecting Recommendations", should a sample of these be reviewed to see if they were being used successfully.	Head of Legal and Regulatory Services/ Service Lead – Risk and Safety	It is proposed submit a report on this matter to the Panel on 8 November 2023	**NO**
3.	28 June 2023	Annual Accounts 2022/23	Provide Members with details of Common Good transactions.	Service Lead – Corporate Accounting	Information emailed to Members on 11 August 2023	**YES**

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Work Programme 2023

No	Issue	Actions	Assigned To	Due Date To Panel	Latest update
1.	**Strategic Risk Management**	Report to this Panel	Head of Legal and Regulatory Services	6 September 2023 (Biannually)	Report to this Panel
2.	**Internal Audit - Progress Report (i) Progress of Annual Internal Audit Plan 2022/23 (ii) Progress of Annual Internal Audit Plan 2023/24 (iii) Implementation of Internal Audit Action Plans**	Report to Panel	Chief Internal Auditor	6 September 2023 (progress reported quarterly)	Report to this Panel
3.	**Internal Audit Annual Update Report – Integration Joint Board (IJB) Performance and Audit Committee (PAC)**	Report to Panel	Chief Internal Auditor	6 September 2023	Report to this Panel
4.	**Audit Scotland: Scotland's City Region and Growth Deals**	Report to Panel	Director of Strategic Change and Communities	6 September 2023	Report to this Panel
5.	**Treasury Management and Investment Strategy Quarter 1 Update Report 2023/24**	Report to Panel	Head of Finance, ICT and Procurement	6 September 2023 (reported quarterly following changes to Code of Practice)	Report to this Panel
6.	**Final Report on the 2022/23 Audit**	Report to this Panel	Head of Finance, ICT and Procurement	27 September 2023 (**Special**)	
7.	**Accounts Commission Annual Report 2022/23**	Report to this Panel	Head of Finance, ICT and Procurement	4 October 2023 (Annually)	

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No	Issue	Actions	Assigned To	Due Date To Panel	Latest update
8.	**Audit Scotland: Fraud and Irregularity 2022/23**	Report to this Panel	Head of Finance, ICT and Procurement	4 October 2023	
9.	**Audit Scotland: Local Government in Scotland Overview**	Report to this Panel	Director of Strategic Change and Communities	4 October 2023	
10.	**Corporate Fraud Team Activity Report**	Report to this Panel	Chief Internal Auditor	6 December 2023 (Biannually)	
11.	**Best Value Action Plan 2023/24 - Update**	Report to this Panel	Director of Strategic Change and Communities	4 October 2023 (Quarterly)	Being deferred from 6 September 2023 Panel
12.	**External Audit Reports – Progress to 30 June 2023**	Report to Panel	Head of Finance, ICT and Procurement	6 September 2023 (Quarterly)	Item to be deferred to 6 December 2023 as no change from report to June AGP
13.	**Annual Audit Plan 2023/24**	Report to Panel	Head of Finance, ICT and Procurement	March 2024 (Yearly)	
14.	**Annual Accounts 2023/24**	Report to this Panel	Head of Finance, ICT and Procurement	June 2024 (Yearly)	
15.	**Delivering Good Governance – 2023/24 Assessment**	Report to Panel	Head of Legal and Regulatory Services	June 2024 (Yearly)	

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No	Issue	Actions	Assigned To	Due Date To Panel	Latest update
16.	**Internal Audit Annual Report 2023/24**	Report to Panel	Chief Internal Auditor	June 2024 (progress reported quarterly)	
17.	**Treasury Management Annual Report 2023/24**	Report to Panel	Head of Finance, ICT and Procurement	June 2024 (Annually)	